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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/786,960	02/25/2004	Yoshiyuki Tanaka	81788.0265

CONFIRMATION NO. 4129

000026021
HOGAN & HARTSON L.L.P.
500 S. GRAND AVENUE
SUITE 1900
LOS ANGELES, CA 90071-2611

FORMALITIES LETTER



OC000000013489514

Date Mailed: 08/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is insufficient.
Applicant must submit \$ 60 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:Total additional fee(s) required for this application is **\$190** for a Large Entity

- \$60 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
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09/02/2004 HTECKLU1 00000055 10786960

01 FC:1001
02 FC:1051

60.00 OP
130.00 OP

A copy of this notice MUST be returned with the reply.

A handwritten signature in black ink, appearing to read "C. Hansen", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PATENT
81788.0265

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshiyuki TANAKA et al.

Serial No: 10/786,960

Filed: February 25, 2004

For: Method for Controlling Non-Volatile
Semiconductor Memory System

Art Unit: 2186

Examiner: Not assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION**

Mail Stop MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated August 9, 2004, response to which is due October 9, 2004, enclosed are the following:

- ☒ A check in the amount of \$60.00 in payment of the filing fee.
- ☒ A check in the amount of \$130.00 to cover the surcharge for late filing.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,

Date: August 30, 2004

By: 

Ying Chen

Registration No. 50,193

Attorney for Applicant(s)

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450, on

August 30, 2004

Date of Deposit

Gary Chernyavsky

Name

Signature

8/30/04

Date